

Docket No.
448563/0163
LR:DLS



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Minoru Usui et al.

Art Unit: 2861

Application No.: 09/312,073

Examiner: M. Nghiem

Filed: May 13, 1999

For: INK CARTRIDGE FOR INK-JET PRINTING APPARATUS

Date: November 2, 2001

REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

Commissioner for Patents
Washington, D.C. 20231

Sir:

The undersigned is in receipt of the Filing Receipt for the above-referenced application and has noted an error in the Foreign Application data field. It is respectfully requested that the following correction be made:

"JAPAN 10-22036 01/29/1999" should be changed to --JAPAN 11-22036
01/29/99--.

A copy of the filing receipt with the requested correction made in red is attached. This change reflects the priority application information set forth in the Combined Declaration and Power of Attorney filed on August 5, 1999, a copy of which is also attached.

Issuance of a corrected Official Filing Receipt is respectfully requested.

Respectfully submitted,

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on 11/26/01

DAVID L. SCHAEFFER
Name of Attorney For Applicant
David L. Schaeffer 11/26/01
Signature Date of Signature

Lawrence Rosenthal
Registration No. 24,377
Attorney for Applicants
Stroock & Stroock & Lavan LLP
180 Maiden Lane
New York, New York 10038
(212) 806-5400

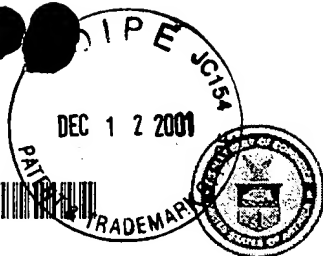
#20 Receipt
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RECEIVED
DEC 25 2001
TC 2861 MAIL ROOM

FILING RECEIPT



OC00000005263102

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: ASSISTANT SECRETARY AND
COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/312,073	05/13/1999	2853	2344	448563/163	28	82	9

LAWRENCE ROSENTHAL
STROOCK & STROOCK & LAVAN LLP
180 MAIDEN LANE
NEW YORK, NY 10038

Date Mailed: 07/24/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

MINORU USUI, NAGANO, JAPAN;
SATOSHI SHINADA, NAGANO, JAPAN;
TAKAHIRO NAKA, NAGANO, JAPAN;
HISASHI MIYAZAWA, NAGANO, JAPAN;
TAKEO SEINO, NAGANO, JAPAN;
HISASHI KOIKE, NAGANO, JAPAN;
TAKAO KOBAYASHI, NAGANO, JAPAN;
MASAHIRO KANAI, NAGANO, JAPAN;
YASUKO HIRANO, NAGANO, JAPAN;
YASUSHI AKATSUKA, NAGANO, JAPAN;
TAKAYUKI IJIMA, NAGANO, JAPAN;
NORIAKI OKAZAWA, NAGANO, JAPAN;
HITOSHI MATSUMOTO, NAGANO, JAPAN;
YASUHIRO OGURA, NAGANO, JAPAN;

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DEC 21 2001
TC 2800 MAIL ROOM

RECEIVED
DEC 26 2001
TC 2800 MAIL ROOM

Continuing Data as Claimed by Applicant

Foreign Applications

JAPAN 10-130630 05/13/1998
JAPAN 10-130631 05/13/1998
JAPAN 10-131483 05/14/1998
JAPAN 10-175340 06/09/1998
JAPAN 10-22036 01/29/1999
JAPAN 11-23300 01/29/1999

If Required, Foreign Filing License Granted 06/08/1999

Title



INK CARTRIDGE FOR INK-JET PRINTING APPARATUS

Preliminary Class

347

Data entry by : KINARD, VALERIE

Team : OIPE

Date: 07/24/2000



LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
 Office of Initial Patent Examination
 Customer Service Center
 Washington, DC 20231



UNITED STATES PATENT AND TRADEMARK OFFICE

FILE COPY

COMMISSIONER
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON

Bib Data Sheet

CONFIRMATION

SERIAL NUMBER 09/312,073	FILING DATE 05/13/1999 RULE 1.47	CLASS 347	GROUP ART UNIT 2861	ATTORNEY DOCK 4485
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APPLICANTS

MINORU USUI, NAGANO, JAPAN;
SATOSHI SHINADA, NAGANO, JAPAN;
TAKAHIRO NAKA, NAGANO, JAPAN;
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NORIAKI OKAZAWA NAGANO, JAPAN;
HITOSHI MATSUMOTO, NAGANO, JAPAN;
YASUHIRO OGURA NAGANO, JAPAN;

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** CONTINUING DATA *****

None

** FOREIGN APPLICATIONS *****

JAPAN 10-130630 03/1998
JAPAN 10-130631 03/1998
JAPAN 10-131483 04/1998
JAPAN 10-175340 09/1998
JAPAN 11-22036 01/1999
JAPAN 11-23300 01/1999

IF REQUIRED, FOREIGN IN-PROCESS LICENSE GRANTED

** 06/08/1999

Foreign Priority claimed <input checked="" type="checkbox"/> yes <input type="checkbox"/> no	35 USC 119 (a-d) conditions met <input checked="" type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance	STATE OR COUNTRY JAPAN	SHEETS DRAWING 28	TOTAL CLAIMS 82	INDEPENDENT CLAIMS 9
Verified and Acknowledged Examiner's Signature: <i>[Signature]</i> Initials: <i>[Initials]</i>					

ADDRESS

LAWRENCE ROSENTHAL
STROOCK & STROOCK & AN LLP
180 MAIDEN LANE
NEW YORK, NY 10038

TITLE

INK CARTRIDGE FOR INK-PRINTING APPARATUS

☐ All Fees

FILING FEE RECEIVED 2504	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> 1.16 Fees (Filing)
		<input type="checkbox"/> 1.17 Fees (Processing Ext. of time)
		<input type="checkbox"/> 1.18 Fees (Issue)
		<input type="checkbox"/> Other _____
		<input type="checkbox"/> Credit

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Seiko Epson R f. No.: F004286US/TP

Attorney's Ref. No.:

Declaration and Power of Attorney For Patent Application

特許出願宣言書及び委任状

Japanese Language Declaration

日本語宣言書

下記の氏名の発明者として、私は以下の通り宣言します。

As a below named inventor, I hereby declare that:

私の住所、私書箱、国籍は、下記の私の氏名の後に記載された通りです。

My residence, post office address and citizenship are as stated next to my name.

下記の名称の発明に関して請求範囲に記載され、特許出願している発明内容について、私が最初かつ唯一の発明者（下記の氏名が一つの場合）もしくは最初かつ共同発明者であると（下記の名称が複数の場合）信じています。

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

インクジェット記録装置用インクカートリッジINK CARTRIDGE FOR INK-JET PRINTING APPARATUS

上記発明の明細書（下記の欄で×印がついていない場合は、本書に添付）は、

the specification of which is attached hereto unless the following box is checked:

☒ 1999年5月13日に提出され、米国出願番号または特許協定条約 国際出願番号を 09/312,073 とし、（該当する場合） _____ に訂正されました。☒ was filed on May 13, 1999 as United States Application Number or PCT International Application Number 09/312,073 and was amended on _____ (if applicable).

私は、特許請求範囲を含む上記訂正後の明細書を検討し、内容を理解していることをここに表明します。

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

私は、連邦規則法典第37編第1条56項に定義されるとおり、特許資格の有無について重要な情報を開示する義務があることを認めます。

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

Japanes Language Declaration (日本語宣言書)

私は、米国法典第35編119条(a)-(d)項又は365条(b)項に基づき下記の、米国以外の国の少なくとも1ヶ国を指定している特許協力条約365条(a)項に基づく国際出願、又は外国での特許出願もしくは発明者証の出願についての外国優先権をここに主張するとともに、優先権を主張している、本出願の前に出願された特許または発明者証の外国出願を以下に、枠内をマークすることで、示しています。

I hereby claim foreign priority under Title 35, United States Code, Section 119 (a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

外国での先行出願

Priority Not Claimed

優先権主張なし

<u>Hei. 10-130630</u> (Number) (番号)	<u>Japan</u> (Country) (国名)	<u>13/May/1998</u> (Day/Month/Year Filed) (出願年月日)
<u>Hei. 10-130631</u> (Number) (番号)	<u>Japan</u> (Country) (国名)	<u>13/May/1998</u> (Day/Month/Year Filed) (出願年月日)

☐
☐

私は、第35編米国法典119条(e)項に基づいて下記の米国特許出願規定に記載された権利をここに主張いたします。

I hereby claim the benefit under Title 35, United States Code, Section 119 (e) of any United States provisional application(s) listed below.

<u> </u> (Application No.) (出願番号)	<u> </u> (Filing Date) (出願日)
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私は下記の米国法典第35編120条に基づいて下記の米国特許出願に記載された権利、又は米国を指定している特許協力条約365条(c)に基づく権利をここに主張します。また、本出願の各請求範囲の内容が米国法典第35編112条第1項又は特許協力条約で規定された方法で先行する米国特許出願に開示されていない限り、その先行米国出願書提出日以降で本出願書の日本国内または特許協力条約国際提出日までの期間中に入手された、連邦規則法典第37編1章56項で定義された特許資格の有無に関する重要な情報について開示義務があることを認識しています。

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s), or 365 (c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of application:

<u> </u> (Application No.) (出願番号)	<u> </u> (Filing Date) (出願日)
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<u> </u> (Application No.) (出願番号)	<u> </u> (Filing Date) (出願日)
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私は、私自身の知識に基づいて本宣言書中で私が行なう表明が真実であり、かつ私が入手した情報と私の信じているところに基づく表明が全て真実であると信じていること、さらに故意になされた虚偽の表明及びそれと同等の行為は米国法典第18編第1001条に基づき、罰金または拘禁、もしくはその両方により処罰されること、そしてそのような故意による虚偽の声明を行なえば、出願した、又は既に許可された特許の有効性が失われることを認識し、よってここに上記のごとく宣誓を致します。

(Status: Patented, Pending, Abandoned)
(現況: 特許許可済、係属中、放棄済)

(Status: Patented, Pending, Abandoned)
(現況: 特許許可済、係属中、放棄済)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to collection of information unless it displays a valid OMB control number.

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Prior Foreign Application(s)
外国での先行出願

Hei. 10-131483 (Number) (番号)	Japan (Country) (国名)	14/May/1998 (Day/Month/Year Filed) (出願年月日)
Hei. 10-175340 (Number) (番号)	Japan (Country) (国名)	09/June/1998 (Day/Month/Year Filed) (出願年月日)

Priority Not Claimed
優先権主張なし

☐☐

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Prior Foreign Application(s)

外国での先行出願

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Hei. 11-22036	Japan	29/January/1999
(Number)	(Country)	(Day/Month/Year Filed)
(番号)	(国名)	(出願年月日)
Hei. 11-23300	Japan	29/January/1999
(Number)	(Country)	(Day/Month/Year Filed)
(番号)	(国名)	(出願年月日)

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(Status: Patented, Pending, Abandoned)
(現況: 特許許可済、係属中、放棄済)

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(現況: 特許許可済、係属中、放棄済)

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Lawrence Rosenthal (Reg. 24,377)

Steven B. Pokotilow (Reg. 26,405)

Howard M. Gitten (Reg. 32,138)

Matthew W. Siegal, (Reg. 32,941)

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (list name and registration number)

Lawrence Rosenthal (Reg. 24,377)

Steven B. Pokotilow (Reg. 26,405)

Howard M. Gitten (Reg. 32,138)

Matthew W. Siegal, (Reg. 32,941)

書類送付先:

STROOCK & STROOCK & LAVAN LLP
180 Maiden Lane
New York, NY 10038-4982

Send Correspondence to:

STROOCK & STROOCK & LAVAN LLP
180 Maiden Lane
New York, NY 10038-4982

直接電話連絡先: (名前及び電話番号)

STROOCK & STROOCK & LAVAN LLP
(212) 806-5400

Direct Telephone Calls to: (name and telephone number)

STROOCK & STROOCK & LAVAN LLP
(212) 806-5400

唯一または第一発明者名

碓井 稔

Full name of sole or first inventor

Minoru USUI

発明者の署名

碓井 稔

日付

1999年7月23日

Inventor's signature

Minoru Usui

Date

July 23, 1999

住所

日本国, 長野県

Residence

Nagano, Japan

国籍

日本

Citizenship

Japan

私書箱

392-8502 日本国長野県諏訪市大和3丁目3番5号
セイコーエプソン株式会社内

Post Office Address

c/o Seiko Epson Corporation
3-5, Owa 3-chome, Suwa-shi, Nagano-ken 392-8502 Japan

第二共同発明者

品田 聡

Full name of second joint inventor, if any

Satoshi SHINADA

第二共同発明者の署名

品田 聡

日付

1999年7月23日

Second inventor's signature

Satoshi Shinada

Date

July 23, 1999

住所

日本国, 長野県

Residence

Nagano, Japan

国籍

日本

Citizenship

Japan

私書箱

392-8502 日本国長野県諏訪市大和3丁目3番5号
セイコーエプソン株式会社内

Post Office Address

c/o Seiko Epson Corporation
3-5, Owa 3-chome, Suwa-shi, Nagano-ken 392-8502 Japan

(第三以降の共同発明者についても同様に記載し、署名をすること)

(Supply similar information and signature for third and subsequent joint inventors.)

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 Steven B. Pokotilow (Reg. 26,405)
 Howard M. Gitten (Reg. 32,138)
 Matthew W. Siegal, (Reg. 32,941)

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 Steven B. Pokotilow (Reg. 26,405)
 Howard M. Gitten (Reg. 32,138)
 Matthew W. Siegal, (Reg. 32,941)

書類送付先:

STROOCK & STROOCK & LAVAN LLP
 180 Maiden Lane
 New York, NY 10038-4982

Send Correspondence to:

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 180 Maiden Lane
 New York, NY 10038-4982

直接電話連絡先: (名前及び電話番号)

STROOCK & STROOCK & LAVAN LLP
 (212) 806-5400

Direct Telephone Calls to: (*name and telephone number*)

STROOCK & STROOCK & LAVAN LLP
 (212) 806-5400

第三共同発明者

中 隆廣

Full name of third joint inventor, if any

Takahiro NAKA

第三共同発明者の署名

中 隆廣

日付

1999年7月23日

Third inventor's signature

Takahiro Naka

Date

July 23, 1999

住所

日本国, 長野県

Residence

Nagano, Japan

国籍

日本

Citizenship

Japan

私書箱

392-8502 日本国長野県諏訪市大和3丁目3番5号
 セイコーエプソン株式会社内

Post Office Address

c/o Seiko Epson Corporation
 3-5, Owa 3-chome, Suwa-shi, Nagano-ken 392-8502 Japan

第四共同発明者

宮澤 久

Full name of fourth joint inventor, if any

Hisashi MIYAZAWA

第四共同発明者の署名

宮澤 久

日付

1999年7月23日

Fourth inventor's signature

Hisashi Miyazawa

Date

July 23, 1999

住所

日本国, 長野県

Residence

Nagano, Japan

国籍

日本

Citizenship

Japan

私書箱

392-8502 日本国長野県諏訪市大和3丁目3番5号
 セイコーエプソン株式会社内

Post Office Address

c/o Seiko Epson Corporation
 3-5, Owa 3-chome, Suwa-shi, Nagano-ken 392-8502 Japan

(第五以降の共同発明者についても同様に記載し、署名をすること)

(Supply similar information and signature for fifth and subsequent joint inventors.)

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(日本語宣言書)

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Steven B. Pokotilow (Reg. 26,405)

Howard M. Gitten (Reg. 32,138)

Matthew W. Siegal, (Reg. 32,941)

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Steven B. Pokotilow (Reg. 26,405)

Howard M. Gitten (Reg. 32,138)

Matthew W. Siegal, (Reg. 32,941)

書類送付先:

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180 Maiden Lane

New York, NY 10038-4982

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180 Maiden Lane

New York, NY 10038-4982

直接電話連絡先: (名前及び電話番号)

STROOCK & STROOCK & LAVAN LLP

(212) 806-5400

Direct Telephone Calls to: (name and telephone number)

STROOCK & STROOCK & LAVAN LLP

(212) 806-5400

第五共同発明者

情野 健朗

Full name of fifth joint inventor, if any

Takeo SEINO

第五共同発明者の署名

情野 健朗

日付

1999年7月23日

Fifth inventor's signature

Takeo Seino

Date

July 23, 1999

住所

日本国, 長野県

Residence

Nagano, Japan

国籍

日本

Citizenship

Japan

私書箱

392-8502 日本国長野県諏訪市大和3丁目3番5号
セイコーエプソン株式会社内

Post Office Address

c/o Seiko Epson Corporation

3-5, Owa 3-chome, Suwa-shi, Nagano-ken 392-8502 Japan

第六共同発明者

小池 尚志

Full name of sixth joint inventor, if any

Hisashi KOIKE

第六共同発明者の署名

小池 尚志

日付

1999年7月23日

Sixth Inventor's signature

Hisashi Koike

Date

July 23, 1999

住所

日本国, 長野県

Residence

Nagano, Japan

国籍

日本

Citizenship

Japan

私書箱

392-8502 日本国長野県諏訪市大和3丁目3番5号
セイコーエプソン株式会社内

Post Office Address

c/o Seiko Epson Corporation

(第七以降の共同発明者についても同様に記載し、署名をすること)

(Supply similar information and signature for seventh and subsequent joint inventors.)

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Steven B. Pokotilow (Reg. 26,405)

Howard M. Gitten (Reg. 32,138)

Matthew W. Siegal, (Reg. 32,941)

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Howard M. Gitten (Reg. 32,138)

Matthew W. Siegal, (Reg. 32,941)

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New York, NY 10038-4982

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STROOCK & STROOCK & LAVAN LLP
(212) 806-5400

Direct Telephone Calls to: (name and telephone number)

STROOCK & STROOCK & LAVAN LLP
(212) 806-5400

第七共同発明者

小林 隆男

Full name of seventh joint inventor, if any

Takao KOBAYASHI

第七共同発明者の署名

日付

小林 隆男

1999年7月26日

Seventh inventor's signature

Date

Takao Kobayashi

July 26, 1999

住所

日本国, 長野県

Residence

Nagano, Japan

国籍

日本

Citizenship

Japan

私書箱

392-8502 日本国長野県諏訪市大和3丁目3番5号
セイコーエプソン株式会社内

Post Office Address

c/o Seiko Epson Corporation
3-5, Owa 3-chome, Suwa-shi, Nagano-ken 392-8502 Japan

第八共同発明者

金井 正弘

Full name of eighth joint inventor, if any

Masahiro KANAI

第八共同発明者の署名

日付

金井 正弘

1999年7月23日

Eighth Inventor's signature

Date

Masahiro Kanai

July 23, 1999

住所

日本国, 長野県

Residence

Nagano, Japan

国籍

日本

Citizenship

Japan

私書箱

392-8502 日本国長野県諏訪市大和3丁目3番5号
セイコーエプソン株式会社内

Post Office Address

c/o Seiko Epson Corporation
3-5, Owa 3-chome, Suwa-shi, Nagano-ken 392-8502 Japan

(第九以降の共同発明者についても同様に記載し、署名をすること)

(Supply similar information and signature for ninth and subsequent joint inventors.)

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Howard M. Gitten (Reg. 32,138)

Matthew W. Siegal, (Reg. 32,941)

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New York, NY 10038-4982

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STROOCK & STROOCK & LAVAN LLP

(212) 806-5400

Direct Telephone Calls to: (name and telephone number)

STROOCK & STROOCK & LAVAN LLP

(212) 806-5400

第九共同発明者名

平野 靖子

Full name of ninth joint inventor, if any

Yasuko HIRANO

第九共同発明者の署名

平野 靖子

日付

1999年7月23日

Ninth inventor's signature

HIRANO YASUKO

Date

July 23, 99

住所

日本国, 長野県,

Residence

Nagano, Japan

国籍

日本

Citizenship

Japan

私書箱

392-8502 日本国長野県諏訪市大和3丁目3番5号
セイコーエプソン株式会社内

Post Office Address

c/o Seiko Epson Corporation

3-5, Owa 3-chome, Suwa-shi, Nagano-ken 392-8502 Japan

第十共同発明者

赤塚 靖

Full name of tenth joint inventor, if any

Yasushi AKATSUKA

第十共同発明者の署名

赤塚 靖

日付

1999年7月26日

Tenth inventor's signature

Yasushi AKATSUKA

Date

July 26, 1999

住所

日本国, 長野県,

Residence

Nagano, Japan

国籍

日本

Citizenship

Japan

私書箱

392-8502 日本国長野県諏訪市大和3丁目3番5号
セイコーエプソン株式会社内

Post Office Address

c/o Seiko Epson Corporation

3-5, Owa 3-chome, Suwa-shi, Nagano-ken 392-8502 Japan

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(Supply similar information and signature for third and subsequent joint inventors.)

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(日本語宣言書)

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Howard M. Gitten (Reg. 32,138)

Matthew W. Siegal, (Reg. 32,941)

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Steven B. Pokotilow (Reg. 26,405)

Howard M. Gitten (Reg. 32,138)

Matthew W. Siegal, (Reg. 32,941)

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New York, NY 10038-4982

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New York, NY 10038-4982

直接電話連絡先: (名前及び電話番号)

STROOCK & STROOCK & LAVAN LLP

(212) 806-5400

Direct Telephone Calls to: (name and telephone number)

STROOCK & STROOCK & LAVAN LLP

(212) 806-5400

第十一発明者

飯島 貴幸

Full name of eleventh joint inventor, if any

Takayuki IJIMA

第十一共同発明者の署名

日付

Eleventh inventor's signature

Date

飯島 貴幸

1999年7月23日

Takayuki Iijima

July 23, 1999

住所

日本国, 長野県

Residence

Nagano, Japan

国籍

日本

Citizenship

Japan

私書箱

392-8502 日本国長野県諏訪市大和3丁目3番5号
セイコーエプソン株式会社内

Post Office Address

c/o Seiko Epson Corporation

3-5, Owa 3-chome, Suwa-shi, Nagano-ken 392-8502 Japan

第十二共同発明者

岡沢 宣昭

Full name of twelfth joint inventor, if any

Noriaki OKAZAWA

第十二共同発明者の署名

日付

Twelfth inventor's signature

Date

岡沢 宣昭

1999年7月26日

Noriaki Okazawa

July 26, 1999

住所

日本国, 長野県

Residence

Nagano, Japan

国籍

日本

Citizenship

Japan

私書箱

392-8502 日本国長野県諏訪市大和3丁目3番5号
セイコーエプソン株式会社内

Post Office Address

c/o Seiko Epson Corporation

3-5, Owa 3-chome, Suwa-shi, Nagano-ken 392-8502 Japan

(第五以降の共同発明者についても同様に記載し、署名をすること)

(Supply similar information and signature for fifth and subsequent joint inventors.)

Japanese Language Declaration

(日本語宣言書)

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Steven B. Pokotilow (Reg. 26,405)

Howard M. Gitten (Reg. 32,138)

Matthew W. Siegal, (Reg. 32,941)

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Steven B. Pokotilow (Reg. 26,405)

Howard M. Gitten (Reg. 32,138)

Matthew W. Siegal, (Reg. 32,941)

書類送付先:

STROOCK & STROOCK & LAVAN LLP

180 Maiden Lane

New York, NY 10038-4982

Send Correspondence to:

STROOCK & STROOCK & LAVAN LLP

180 Maiden Lane

New York, NY 10038-4982

直接電話連絡先: (名前及び電話番号)

STROOCK & STROOCK & LAVAN LLP

(212) 806-5400

Direct Telephone Calls to: (name and telephone number)

STROOCK & STROOCK & LAVAN LLP

(212) 806-5400

第十三共同発明者

松本 斉

Full name of thirteenth joint inventor, if any

Hitoshi MATSUMOTO

第十三共同発明者の署名

日付

松本 斉

1999年7月23日

Thirteenth inventor's signature

Date

Hitoshi Matsumoto

July 23, 1999

住所

日本国, 長野県

Residence

Nagano, Japan

国籍

日本

Citizenship

Japan

私書箱

392-8502 日本国長野県諏訪市大和3丁目3番5号
セイコーエプソン株式会社内

Post Office Address

c/o Seiko Epson Corporation

3-5, Owa 3-chome, Suwa-shi, Nagano-ken 392-8502 Japan

第十四共同発明者

小倉 康弘

Full name of fourteenth joint inventor, if any

Yasuhiro OGURA

第十四共同発明者の署名

日付

小倉 康弘

1999年7月23日

Fourteenth inventor's signature

Date

Yasuhiro Ogura

July 23, 1999

住所

日本国, 長野県

Residence

Nagano, Japan

国籍

日本

Citizenship

Japan

私書箱

392-8502 日本国長野県諏訪市大和3丁目3番5号
セイコーエプソン株式会社内

Post Office Address

c/o Seiko Epson Corporation

3-5, Owa 3-chome, Suwa-shi, Nagano-ken 392-8502 Japan

(第七以降の共同発明者についても同様に記載し、署名をすること)

(Supply similar information and signature for seventh and subsequent joint inventors.)